

"Express Mail" mailing label number: EL868560190US

Date of Deposit: August 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Missing Parts, Commissioner for Patents, Washington D.C. 20231.

Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: Patricia Aguillon

PATENT  
Docket No. P1283

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: CHAK LEUNG  
KENT LEUNG  
HOWIE TRANG  
DAR-QWEI (DAVID) FUH  
STANLEY YU

SERIAL NO.: 09/811,834 EXAMINER: UNKNOWN

FILED: MARCH 16, 2001 ART UNIT: 2873

FOR: REFLECTOR ASSEMBLIES FOR OPTICAL CROSS-CONNECT  
SWITCHES AND SWITCHES FABRICATED THEREFROM

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Dear Sirs:

In connection with the above-referenced matter, transmitted are the following:

1. Notice of Incomplete Reply (Nonprovisional) (1 page);
2. Response to Notice of Incomplete Response to Notice to File Missing Parts Of Nonprovisional Application (1 page);
3. Declaration and Power of Attorney for Chak Leung, Kent Leung, Dar-Qwei (David) Fuh, and Stanley Yu (2 pages);
4. Petition for Extension of Time to Respond to Notice of Incomplete Response to Notice to File Missing Parts of a Nonprovisional Application (2 pages);
5. Petition Under 37 C.F.R. §1.47 (3 pages);
6. Declaration of Victor Flores in Support of Petition to the Commissioner Under 37 C.F.R. §1.47(a) Filing when an Inventor Refuses to Execute Documents (2 pages);

7. Check No. 4102 in the amount of \$55.00 for Small Entity Fee;
8. Check No. 4103 in the amount of \$130.00; and
9. Post card in acknowledgement of all transmitted materials.

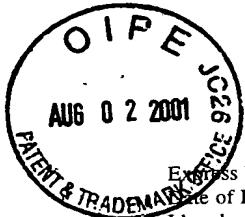
Please date-stamp the enclosed post card and return to the undersigned in acknowledgement of receipt of all transmitted materials.

Respectfully submitted,



Victor Flores  
Reg. No. 29,638

VF/pa  
August 2, 2001  
LARIVIERE, GRUBMAN & PAYNE, LLP  
P.O. Box 3140  
Monterey, CA 93942  
(831)649-8800



Express Mail" mailing label number: EL868560190US

Date of Deposit: August 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Missing Parts, Commissioner for Patents, Washington D.C. 20231.

Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: Patricia Aguillon

#45  
PATENT  
Docket No. P1283

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: CHAK LEUNG  
KENT LEUNG  
HOWIE TRANG  
DAR-QWEI (DAVID) FUH  
STANLEY YU

SERIAL NO.: 09/811,834 EXAMINER: UNKNOWN

FILED: MARCH 16, 2001 ART UNIT: 2873

FOR: REFLECTOR ASSEMBLIES FOR OPTICAL CROSS-CONNECT  
SWITCHES AND SWITCHES FABRICATED THEREFROM

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE OF INCOMPLETE RESPONSE TO THE NOTICE TO  
FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Dear Sir:

In Response to the Notice of Incomplete Response to the Notice to File Missing Parts of a Nonprovisional Application dated July 24, 2001, enclosed please find the Declaration and Power of Attorneys for four of the five inventors, and a Petition under 37 C.F.R. §1.47 to satisfy the requirement of filing the signature of the fifth inventor Howie Trang.

In the event that an executed Declaration and Power of Attorney is received, the executed document will be file with the U.S. Patent and Trademark Office.

Respectfully submitted,

  
Victor Flores  
Reg. No. 29,638

VF/pa  
August 2, 2001  
LARIVIERE, GRUBMAN & PAYNE, LLP  
P.O. Box 3140  
Monterey, CA 93942  
(831)649-8800

08-06-01

Page 1 of 1

03CO/KA  
-15



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,834	03/16/2001	Chak Leung	P1283



F. David LaRiviere  
LaRIVIERE, GRUBMAN & PAYNE LLP  
P.O. Box 3140  
Monterey, CA 93942

CONFIRMATION NO. 2548  
FORMALITIES LETTER



\*OC00000006337028\*

Date Mailed: 07/25/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 06/14/2001 to the Notice to File Missing Parts (Notice) mailed 05/31/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

Adjustment date: 10/03/2001 MTEKLEM  
08/08/2001 BABRAHA1 00000072 09811834

01 FC:105 130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/08/2001 BABRAHA1 00000072 09811834

01 FC:105

130.00 OP